UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

23389

7590

07/16/2008

SCULLY SCOTT MURPHY & PRESSER, PC 400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530 EXAMINER

CATTUNGAL, SANJAY

ART UNIT PAPER NUMBER

3768

DATE MAILED: 07/16/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/764,862	01/26/2004	Hideyuki Seto	17400	9396

TITLE OF INVENTION: ENDOSCOPE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	10/16/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS; This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ap in m

appropriate. All further indicated unless correct maintenance fee notifications.	correspondence including ted below or directed other attentions.	ng the Patent, advance of herwise in Block 1, by (a	rders and notification of n a) specifying a new corres	naintenance fees w pondence address;	ill be mailed to the current and/or (b) indicating a sepa	correspondence address as arate "FEE ADDRESS" for	
CURRENT CORRESPOND	Feet	(c) Transmittal This	nailing can only be used for s certificate cannot be used for paper, such as an assignme of mailing or transmission.	for any other accompanying			
23389	7590 07/16	5/2008			ificate of Mailing or Trans		
400 GARDEN O SUITE 300		PRESSER, PC	I he State addr trans	reby certify that thi	s Fee(s) Transmittal is being ith sufficient postage for firs Stop ISSUE FEE address O (571) 273-2885, on the d	g deposited with the United	
GARDEN CITY	Y, NY 11530					(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/764,862	01/26/2004	-	Hideyuki Seto		17400	9396	
TITLE OF INVENTION	N: ENDOSCOPE						
L DOT 11 STEP	I	T	Internal evolution name	Depart parts regard	L momut (a) pum	D. CELDIE	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE			
nonprovisional	NO	\$1440	\$300	\$0 <b>1</b>	\$1740	10/16/2008	
EXAM		ART UNIT	CLASS-SUBCLASS				
	AL, SANJAY	3768	600-478000				
CFR 1.363).	lence address or indicatio	,	2. For printing on the p (1) the names of up to		4		
Change of corresp	oondence address (or Cha B/122) attached.	inge of Correspondence	or agents OR, alternativ	vely,			
☐ "Fee Address" inc	lication (or "Fee Address	" Indication form	registered attorney or agent) and the name of up to				
Number is required	02 or more recent) attach	ned. Use of a Customer	listed, no name will be	printed.	no name is 3		
3. ASSIGNEE NAME A	AND RESIDENCE DATA	A TO BE PRINTED ON	ГНЕ PATENT (print or typ	pe)			
PLEASE NOTE: Un	lless an assignee is ident th in 37 CFR 3.11. Com	ified below, no assignee	data will appear on the pa T a substitute for filing an	atent. If an assigne	e is identified below, the d	ocument has been filed for	
(A) NAME OF ASSI	•	P1011011 01 11110 101111 10 1 1 0	(B) RESIDENCE: (CITY		OUNTRY)		
						. 🗀 -	
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent):	Individual 🖵 Co	rporation or other private gro	oup entity  Government	
			<b>—</b> *	se first reapply an	y previously paid issue fee	shown above)	
☐ Issue Fee☐ Publication Fee (No small entity discount permitted)			☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.				
Advance Order - # of Copies			The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
5 Change in Entity Ste	ntus (from status indicate	d abova)	overpayment, to Depo	sit Account Numbe	r (enclose a	n extra copy of this form).	
	ns SMALL ENTITY state	*	☐ b. Applicant is no long	ger claiming SMAL	L ENTITY status. See 37 C	FR 1.27(g)(2).	
NOTE: The Issue Fee ar	nd Publication Fee (if req	uired) will not be accepte ates Patent and Trademark	d from anyone other than the	he applicant; a regis	stered attorney or agent; or th	ne assignee or other party in	
increst as shown by the	records of the Office St	ues i atent and i racemark	. Office.				
Authorized Signature	,			Date			
Typed or printed name				Registration N	0		
This collection of inform	nation is required by 37 O	CFR 1.311. The information	on is required to obtain or r	etain a benefit by th	ne public which is to file (and	by the USPTO to process)	
submitting the complete	ed application form to the	e USPTO. Time will vary rden, should be sent to th	depending upon the indiverse Chief Information Office	ridual case. Any cor er, U.S. Patent and	ninutes to complete, includir mments on the amount of tir Trademark Office, U.S. Dep	me you require to complete artment of Commerce. P O	
Box 1450, Alexandria, V Alexandria, Virginia 22	Virginia 22313-1450. DC	O NÓT SEND FEES OR	COMPLETED FORMS TO	O THIS ADDRESS	. SEND TO: Commissioner	for Patents, P.O. Box 1450,	

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/764,862	01/26/2004	Hideyuki Seto	17400	9396
23389 7.	590 07/16/2008		EXAM	INER
SCULLY SCOT	T MURPHY & PRES	CATTUNGAL, SANJAY		
400 GARDEN CITY PLAZA SUITE 300 GARDEN CITY, NY 11530			ART UNIT	PAPER NUMBER
			3768 DATE MAIL ED: 07/16/200	8

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 11 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 11 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)
	10/764,862	SETO ET AL.
Notice of Allowability	Examiner	Art Unit
		0700
	SANJAY CATTUNGAL	3768
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31:	(OR REMAINS) CLOSED in this apply or other appropriate communication (IGHTS. This application is subject to	plication. If not included will be mailed in due course. <b>THIS</b>
1. This communication is responsive to <u>12/18/07</u> .		
2. The allowed claim(s) is/are <u>1 and 3-10</u> .		
<ul><li>3.  Acknowledgment is made of a claim for foreign priority u</li><li>a)  All b)  Some* c)  None of the:</li></ul>	nder 35 U.S.C. § 119(a)-(d) or (f).	
<ol> <li>Certified copies of the priority documents have</li> </ol>	e been received.	
2. Certified copies of the priority documents have	e been received in Application No	·
3. Copies of the certified copies of the priority do	ocuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS ( as "replacement sheets") mu	st be submitted.	
(a) ☐ including changes required by the Notice of Draftsper		948) attached
1)  hereto or 2)  to Paper No./Mail Date	•	,
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	=	Office action of
Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in		
<u> </u>		·
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT</li> </ol>		
attached Examinor o comment regarding NEGOTIVE	TOTAL DELIGION OF BIOLOGICA	AL WOLLANDE.
Attachment(s)	5 🗖 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
1. Notice of References Cited (PTO-892)	5. Notice of Informal P	• •
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6.  ☐ Interview Summary Paper No./Mail Dat	
3. Information Disclosure Statements (PTO/SB/08),	7. ☐ Examiner's Amendr	ment/Comment
Paper No./Mail Date 4.	<u>_</u>	ent of Reasons for Allowance
of Biological Material	9.	

Application/Control Number: 10/764,862 Page 2

Art Unit: 3768

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Tom Spinelli on 04/01/2008.

The application has been amended as follows:

Claim 6. (Currently Amended) An endoscope comprising:

an elongated flexible inserting portion; and

an operating portion which is mounted on the proximal end side of the inserting portion and which has a grip portion capable of being gripped by an operator, the endoscope further comprising:

an electric device outputting predetermined signals;

an internal structure mounted in the operating portion, which is inserted in the grip portion from the proximal end side thereof to the distal end side;

a notch portion mounted to the internal structure; [[and]] electric device mounting means which is mounted to the internal structure and mounts the electric device within the notch portion,

a plate frame provided in the internal structure of the operating portion for suppressing a deforming of the operating portion, the plate frame having the notch portion to mount the electronic device.

Claim 7. (Currently Amended) An endoscope comprising: an elongated flexible inserting portion;

a grip portion which is mounted on the proximal end side of the inserting portion and which can be gripped by an operator;

an objective optical system which is mounted to the distal end side of the inserting portion and which can transmit an optical image of a subject into the inserting portion;

image guiding fibers which can transmit the optical image incident from the distal end side via the objective optical system to the proximal end side and which is inserted to the inserting portion so that the proximal end side extends in the grip portion from the inserting portion;

an optical image output portion which constitutes the proximal end side of the image guiding fibers and which outputs the optical image transmitted from the distal end side;

an image pick-up unit which is provided in the grip portion and is optically connected to the optical image output portion and which has an image pick-up device capable of picking up the optical image transmitted from the optical image output portion.

a plate frame provided in the grip portion for suppressing a deforming of the grip portion, the plate frame having a notch portion to mount the image pick-up unit;

wherein the optical axis of the optical image outputted to the image pickup unit from the optical image output portion is deviated from the central axis of a portion at which the image guiding fibers are extended in the grip portion.

### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance: U. S. Patent No. 6,432,046 to Yarush (Fig. 1, 7, 9, 12, and 25, Col. 3 lines 20-32, Col. 7 lines 49-52, Col. 23 lines 66 through Col. 24 line 8); U. S. Patent No. 5,372,124 to Takayama; U. S. Patent No. 5,392,067 to Konno (Fig. 36, Col. 22 lines 66 through Col. 23 line 5); none of the prior art alone or in combination teaches or suggests an optical endoscope system which comprises a plate frame provided in the operating portion for suppressing a deforming of the operating portion, the plate frame having a notch portion to mount the image pick up unit at a position at which the optical image transmitted by the optical output portion can be picked up in the operating portion.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SANJAY CATTUNGAL whose telephone number is (571)272-1306. The examiner can normally be reached on 9:30 - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on (571)272-4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

Art Unit: 3768

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian L Casler/ Supervisory Patent Examiner, Art Unit 3737

SPC